

NATIONAL ARCHIVES MICROFILM PUBLICATIONS
PAMPHLET ACCOMPANYING MICROCOPIES NOS. 696 & 697

**Records of
the Department of State
Relating to
Political Relations
Between the United States
and Austria-Hungary
and Austria, 1910-1929
and
Between Austria-Hungary
and Austria
and Other States, 1910-1929**



NATIONAL ARCHIVES AND RECORDS SERVICE
GENERAL SERVICES ADMINISTRATION
WASHINGTON: 1969

The records reproduced in these microfilm publications

are from

Record Group 59

General Records of the Department of State

in the National Archives

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RECORDS OF THE DEPARTMENT OF STATE
RELATING TO POLITICAL RELATIONS BETWEEN
THE UNITED STATES AND
AUSTRIA-HUNGARY AND AUSTRIA, 1910-1929

On the 4 rolls of this microfilm publication are reproduced the records from the decimal file of the Department of State, 1910-29, that relate to political relations between the United States and Austria-Hungary and Austria. The records, consisting of bound volumes and unbound documents, are mostly instructions to and despatches from diplomatic and consular officials; the despatches are often accompanied by enclosures. Also included in these records are notes between the Department of State and foreign diplomatic representatives in the United States, memoranda prepared by officials of the Department, and correspondence with officials of other Government departments and with private firms and persons. The Lists of Documents or "purport sheets" reproduced at the beginning of Roll 1 give brief abstracts of the documents reproduced in this microcopy and serve as a finding aid to the documents themselves. The arrangement of the entries on these lists generally corresponds to the arrangement of the documents in the file.

From 1910 to 1963 the Department of State used a decimal system for its central files, assembling and arranging individual documents according to subject and assigning decimal file numbers. The decimal file consists of nine primary classes numbered 0 through 8, each covering a broad subject area. The records reproduced in this microcopy are in Class 7, Political Relations of States. The country numbers assigned to the United States and to Austria are 11 and 63, respectively. Thus the documents bear the file number 711.63 and concern political relations between the United States and Austria-Hungary and Austria.

When one or more digits follow the second country number, they represent a specific subject. This number, in turn, may be followed by a slant mark (/). In such cases the numbers after the slant mark were assigned to individual documents as they were accumulated on a specific subject. For example, a decimal file number taken from a document reproduced in this microcopy is 711.632/64. The number 2 following the country number for Austria (63) signifies that the subject is matters relating to treaties of amity, commerce, and navigation, and the number after the slant mark indicates the number of documents on this subtopic.

The documents under one subject classification are generally in chronological order, coinciding with the document number assigned (which follows the slant mark). There are instances, however, when a document file number was not assigned until a date considerably later than the one on which the document was received.

Cross-reference sheets referring to related records under other subject classifications in the decimal file have been reproduced as they occur, and appropriate cross-reference notations appear in the Lists of Documents. Other cross-reference notations are to documents

in the "numerical file," a system used for the central files of the Department of State for the period 1906-10. The checkmarks that appear by most entries in the left-hand column of the Lists of Documents indicate that the papers are in the file; entries without marks, it is believed, refer to documents that were not among the records when they were received from the Department of State.

Some of the documents that have been checked in the Lists of Documents do not appear in this microcopy. The file contains security-classified documents and communications received from and classified by foreign governments and Federal agencies, in addition to those classified by the Department of State. The documents that have not been declassified are not available as part of this microcopy. The National Archives and Records Service does not have authority to make reproductions of such documents available to searchers.

The largest group of records reproduced in this microcopy concern general relations and bilateral treaties relating to mediation after World War I and treaties of arbitration, conciliation, commerce, navigation, and naturalization.

The records reproduced in this microcopy are part of the records in the National Archives designated as Record Group 59, General Records of the Department of State.

In the same record group are several diplomatic correspondence series containing additional documents on relations between Austria-Hungary and Austria and the United States. They are copies of instructions from the Department of State to Ministers to Austria, 1837-1906 (Rolls 13-16 of Microcopy 77); notes to the Austrian Legation in the United States from the Department, 1853-1906 (Rolls 2-4 of Microcopy 99); despatches from U.S. Ministers to Austria to the Department, 1838-1906 (Microcopy T-157); and notes from the Austrian Legation in the United States to the Department, 1820-1906 (Microcopy 48). Also related to matters concerning Austria are communications to special agents of the United States from the Department, 1852-86 (Roll 15⁴ of Microcopy 77).

There are also microfilm publications available on despatches from U.S. consular officials at Budapest, 1876-1906 (Microcopy T-531), and Vienna, 1830-1906 (Microcopy T-243). Complementary to the despatches are instructions to consuls.

The arrangement of the diplomatic and consular series cited above was discontinued in 1906, when the Department of State adopted the practice of filing incoming and outgoing correspondence, memoranda, and other documents by subject in a single numerical series. Information on documents relating to Austria-Hungary for the 1906-10 period may be found through the use of card indexes and Lists of Documents in the National Archives.

Among the records in the same record group in the Department of State decimal file, 1910-29, are three other series regarding Austrian

affairs that are closely related to the records reproduced in this microcopy. Two of the series are in Class 7: one concerns political relations between Austria-Hungary and Austria and Other States (Microcopy 697), and the other, which pertains to relations between Austria-Hungary and Serbia, is reproduced as Records of the Department of State Relating to World War I and Its Termination, 1914-29 (Microcopy 367, 518 rolls). The third series is in Class 8 and relates to internal affairs of Austria-Hungary and Austria (Microcopy 695). Preparations have been made to film three related series concerning Austria-Hungary and Hungary, 1910-29: internal affairs (Microcopy 708), political relations with the United States (Microcopy 709), and political relations with other states (Microcopy 710).

Additional documents are in the remaining classes of the Department of State decimal file as follows:

- Class 0. General. Miscellaneous.
- Class 1. Administration, Government of the United States.
- Class 2. Extradition.
- Class 3. Protection of Interests.
- Class 4. Claims.
- Class 5. International Congresses and Conferences.
Multi-lateral Treaties. League of Nations.
- Class 6. Commerce. Customs Administration. Commercial Relations, Treaties and Conventions. Commercial and Trade Agreements.

In Record Group 84, Records of the Foreign Service Posts of the Department of State, are records originally kept at U.S. diplomatic and consular posts. Among these are records of the U.S. Legation (later Embassy) in Austria, 1837-1935, and of consular posts in that country, including records relating to Hungary before 1919.

In Record Group 76, Records of Boundary and Claims Commissions and Arbitrations, are records that relate to the Tripartite Claims Commission in which the United States, Austria, and Hungary participated.

The records reproduced in this microcopy were prepared for filming by James E. Primas, who wrote these introductory remarks and provided the other editorial material.

CONTENTS OF MICROCOPY 696

The decimal file number span and the subjects covered by the documents reproduced in this microcopy are as follows:

Roll File Number, Description, and Price of Roll

LISTS OF DOCUMENTS

- 1 711.63/2 - 711.639Legal Protection/7 \$3
Brief abstracts that serve as a finding aid to the documents.

DOCUMENTS

RELATIONS; BILATERAL TREATIES
BETWEEN

THE UNITED STATES AND AUSTRIA-HUNGARY AND AUSTRIA

General Relations

711.63/2-9

June 1915-May 1927.

Political Relations

711.63119 - 711.6312Anti-war

Mediation between the United States and Austria concerning termination of World War I; popular comment relating to the termination of World War I; arbitration treaties, 1914, 1915, 1928; treaties of arbitration and conciliation; treaty for the renunciation of war between the United States and Austria.

- 2 Commerce and Navigation \$4

711.632/0-66

Matters relating to treaties of amity, commerce, and navigation.

- 3 711.6321/40-121 \$3

Problems relating to the functions of consular officials acting under provisions of consular conventions.

- 4 711.6322/0-1 \$2

Lack of a treaty provision for workmen's compensation for U.S. citizens injured in Austria, 1927.

Naturalization

711.634/0-10

Matters relating to naturalization under provisions of existing treaties in 1914, 1917, and 1923.

Other Relations; Other Bilateral Treaties

711.639Legal Protection/1-7

Treaty for legal protection, involving the right to sue in national courts, 1927.

The price of the 4 rolls of film in Microcopy 696 is \$12.

RECORDS OF THE DEPARTMENT OF STATE
RELATING TO POLITICAL RELATIONS BETWEEN
AUSTRIA-HUNGARY AND HUNGARY AND
OTHER STATES, 1910-1929

On the 3 rolls of this microfilm publication are reproduced the records from the decimal file of the Department of State, 1910-29, that relate to political relations between Austria-Hungary and Austria and nations other than the United States. The records, consisting of bound volumes and unbound documents, are mostly instructions to and despatches from diplomatic and consular officials; the despatches are often accompanied by enclosures. Also included in these records are notes between the Department of State and foreign diplomatic representatives in the United States, memoranda prepared by officials of the Department, and correspondence with officials of other Government departments and with private firms and persons. The Lists of Documents or "purport sheets" reproduced at the beginning of Roll 1 give brief abstracts of the documents reproduced in this microcopy and serve as a finding aid to the documents themselves. The arrangement of the entries on these lists generally corresponds to the arrangement of the documents in the file.

From 1910 to 1963 the Department of State used a decimal system for its central files, assembling and arranging individual documents according to subject and assigning decimal file numbers. The decimal file consists of nine primary classes numbered 0 through 8, each covering a broad subject area. The records reproduced in this microcopy are in Class 7, Political Relations of States. Each country has been assigned a two-digit number. The country numbers assigned to Austria and to Hungary, for example, are 63 and 64, respectively. Thus the documents bearing the file number 763.64 concern political relations between Austria and Hungary.

When two country numbers are used in a single file number, the lower number is the controlling one and precedes the decimal point; the number after the decimal point must be higher. Most of the documents reproduced in this microcopy concern relations between Austria and those countries assigned a number higher than 63. Documents on relations between Austria and countries having a number lower than 63 would be among records for the other country; for example, those on relations between Mexico (12) and Austria (63) would be filed under 712.63. Documents relating to Austrian relations with other countries in general, however, are filed under 763.00 and are included in this microcopy.

When one or more digits follow the second country number, they represent a specific subject. This number, in turn, may be followed by a slant mark (/). In such cases the numbers after the slant mark were assigned to individual documents as they were accumulated on a specific subject. For example, a

decimal file number taken from a document reproduced in this microcopy is 763.6412/2. The number 12 following the country number for Hungary (64) signifies that the subject is the negotiation of a treaty of general arbitration between Austria and Hungary, and the number after the slant mark indicates the number of documents on this subject.

The documents under one subject classification are usually in chronological order, coinciding with the document number assigned (which follows the slant mark). There are instances, however, when a document file number was not assigned until a date considerably later than the one on which the document was received.

Cross-reference sheets referring to related records under other subject classifications in the decimal file have been reproduced as they occur, and appropriate cross-reference notations appear in the Lists of Documents. Other cross-reference notations are to documents in the "numerical file," a system used for the central files of the Department of State for the period 1906-10. The checkmarks that appear by most entries in the left-hand column of the Lists of Documents indicate that the papers are in the file; those without marks, it is believed, refer to documents that were not among the records when they were received from the Department of State.

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The largest group of records reproduced in this microcopy concern relations between Austria and Hungary regarding boundary questions and matters relating to the population of West Hungary. There is correspondence relating to such matters as resolutions protesting the proposed separation of West Hungarians from Hungary, negotiations for adjusting the boundary between Austria and Hungary, voluntary renunciation of the part of West Hungary allocated to Austria under the Treaty of St. Germain, and the evacuation of West Hungary by Hungarian forces. Also included is correspondence concerning treaties with Turkey, Greece, and Rumania.

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LISTS OF DOCUMENTS

- 1 763.00 - 763.7115 \$4
 Brief abstracts that serve as a finding aid to the documents.

DOCUMENTS

RELATIONS; BILATERAL TREATIES

BETWEEN

AUSTRIA-HUNGARY AND AUSTRIA AND OTHER STATES

- 763.00/0-19
 Relations with other states in general.
 Relations with Hungary:
 763.64 - 763.6415/5
2 763.6415/6-266 \$6
3 763.6415/267-288 \$5
 763.65 - 763.7115
 Relations with Italy, Turkey, Greece, the Balkan States, and Rumania.

The price of the 3 rolls of film in Microcopy 697 is \$15.